

System Description

Compliance Screening

Legal regulations and available restricted party lists

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1 Change history

Date	Modification	Chapter (please cross-reference)
February 4, 2022	List EURUKM renamed to EUCM and extended by Belarus embargo	3.1.1 (▶ page 4)
13.05.2022	EURUDU list renamed EUDU, texts for EUDU and EUCM lists updated	3.1.1 (▶ page 4)
13.05.2022	Notes on SAM list removed	3.1.4 (▶ page 10)
07.08.2022	EUCM list: Annex XV to EU Regulation 833/2014 (Russia embargo) supplemented	3.1.1 (▶ page 4)
November 18, 2022	New list PLSL added	3.1.1 (▶ page 4)
June 23, 2023	New list UFLPA added	3.1.4 (▶ page 10)
June 23, 2023	Description of lists from Reguvis and content from Dow Jones updated	3.2 (▶ page 22); 3.3 (▶ page 23)
July 17, 2023	New lists MIEUL and UEL added	3.1.4 (▶ page 10)
November 24, 2023	Chapter 2 updated	2 (▶ page 2)
November 24, 2023	New lists USBCL, ATOENB, CZCTSL, and CACASL added	3.1.4 (▶ page 10); 3.1.1 (▶ page 4); 3.1.5 (▶ page 18)
November 24, 2023	Description of lists for EU, US, UK, Japan, Switzerland, Singapore, People's Republic of China, Australia, and Other updated	3.1.1 (▶ page 4); 3.1.4 (▶ page 10); 3.1.3 (▶ page 8); 3.1.7 (▶ page 19); 3.1.2 (▶ page 8); 3.1.8 (▶ page 19); 3.1.9 (▶ page 20); 3.1.6 (▶ page 18); 3.1.10 (▶ page 20)

2 Against which restricted party lists should an EU-based company screen?

2.1 EU regulations against which to screen

Companies based in the EU generally need to consider only sanctions and restricted party lists arising from EU embargoes or the various bodies of national law. By listing persons, companies, and organizations, the EU sanction and embargo regulations pursue three different objectives:

- One area are the anti-terrorism regulations, which are not country-specific. The following regulations are included: Regulation (EU) 881/2002 against ISIL (Da'esh) and Al-Qaida, Regulation (EU) 2580/2001 against other suspected terrorists and Regulation (EU) 753/2011 in view of the situation in Afghanistan, including all amending regulations.
- In addition, the EU imposes financial sanctions against persons, companies, and organizations associated with the proliferation and use of chemical weapons, cyber attacks, or human rights violations.
- The largest number of financial sanctions in the EU can be found in the country-specific EU embargo regulations, such as those against Russia, Belarus, Iran, and North Korea.

The websites of the responsible national authorities include a current list of country-specific embargoes, which include name lists in their annexes.http://www.bafa.de/DE/Aussenwirtschaft/Ausfuhrkontrolle/Embargos/embargos_node.html

2.2 US restricted party lists against which to screen

The United States usually asserts worldwide authority for its export control laws. Companies with headquarters in the EU are recommended to also screen their business partners against US restricted party lists when they are concerned.

Companies that fall within the scope of the EAR or other globally applicable US laws must ensure that they comply with applicable US regulations. The US has a large number of restricted party lists with very different backgrounds and legal consequences. Not all of them have to be observed worldwide.

3 Which lists does AEB currently offer in the Compliance solution?

AEB offers you an automatic update service as an integral part of your AEB Compliance solution. This update is a fully automated background process without the need for any user interaction. AEB updates the current versions of the restricted party lists daily and maintain them for you on a central server in the AEB data center.

Currently, the extensive international list content is available to you as detailed in the following. This list content is extended continuously. Additionally, AEB is working with some partner companies that also provide lists for various countries.

Which lists your application checks depends on your license agreement. The scope of the update service is thus tailored to your company's individual needs.

3.1 Lists offered in the AEB data service

3.1.1 EU lists

European External Action Service (EEAS)

List	Description	Relevance	Price category	Material number
CFSP Consolidated Financial Sanctions List	Consolidated list of all persons, companies, and organizations subject to EU financial sanctions. The CFSP list is the official EU database. It includes the financial sanctions from the anti-terror regulations, the country-specific embargo regulations, and other sanctioning regulations such as those against cybercrime or serious human rights violations. Link to the list (original)	For companies with headquarters in the EU, screening against the CFSP list is recommended.	Included in license	–

Council of the European Union

List	Description	Relevance	Price category	Material number
EUDU EU – Restrictions for dual-use goods intended for listed organizations	This list includes entities from the EU embargo regulations against which bans on trade in dual-use goods or advanced-technology goods have been issued. For example, the list consolidates the following name listings: <ul style="list-style-type: none"> • Russia embargo: Council Regulation (EU) No. 833/2014 of 31 July 2014, Annex IV entries. • Belarus embargo: Council Regulation (EU) No. 765/2006 of May 18, 2006, Annex V entries. Link to the lists (originals: Russia Belarus)	Recommended for companies doing business with dual-use or advanced-technology goods in Russia or Belarus.	Included in license	–

List	Description	Relevance	Price category	Material number
EUCM EU – Restrictions on access to the capital market	<p>This list includes companies, organizations, or banks that are more than 50% publicly owned by the Russian or Belarusian governments and for which access to EU capital markets is restricted. In addition, further names from annexes of the respective embargo regulations are included in this list, against which no comprehensive financial sanctions exist and which are therefore not included in the CFSP list. Among others, the list consolidates the following name listings:</p> <ul style="list-style-type: none"> • Russia embargo: based on Council Regulation (EU) No. 833/2014 of July 31, 2014, the entries of Annexes III, V, VI, XII, XIII, XIV, XV, and XIX. • Belarus embargo: based on Council Regulation (EU) No. 765/2006 of May 18, 2006, the entries of Annexes IX, XV. <p>Link to the lists (originals: Russia Belarus)</p>	Recommended for companies doing business with Russia or Belarus.	Included in license	–

France – Ministère de l'Économie et des Finances

List	Description	Relevance	Price category	Material number
FRNL French Sanctions List	<p>The French sanctions list (Registre national des gels) contains all persons and organizations that the French authorities consider to be associated with terrorist acts and are therefore subject to financial sanctions. In addition, the EU financial sanctions are included in the French list.</p> <p>Link to the list (original)</p>	Recommended for companies incorporated under French law and therefore subject to French law.	A	D601009

Netherlands – Ministerie van Buitenlandse Zaken

List	Description	Relevance	Price category	Material number
NLNST Nationale sanctielijst terrorisme	The Dutch sanctions list (Nationale sanctielijst terrorisme) contains all persons and organizations that the Dutch authorities consider to be associated with terrorist acts. Link to the list (original)	Recommended for companies incorporated under Dutch law and therefore subject to Dutch law.	A	D601010

Belgium – Federal Public Service FINANCIAL SERVICES (FPS)

List	Description	Relevance	Price category	Material number
BENS Belgian National Sanctions List	The Belgian sanctions list contains persons and organizations that the Belgian authorities consider to be associated with terrorist acts. All assets of the listed persons and organizations will be subject to financial sanctions. Link to the list (original)	Recommended for companies incorporated under Belgian law and therefore subject to Belgian law.	A	D601011

Austria – Austrian National Bank (OeNB)

List	Description	Relevance	Price category	Material number
ATOENB Austrian Sanctions List (issued by the Austrian central bank , OeNB)	The Austrian sanctions list contains persons and organizations against which financial sanctions, i.e. measures restricting capital and payment transactions, have been imposed for the purpose of combating terrorism. Link to the list (original)	Recommended for companies incorporated under Austrian law and therefore subject to Austrian law.	A	D601030

Poland – Ministerstwo Spraw Wewnętrznych i Administracji

List	Description	Relevance	Price category	Material number
PLSL Poland Sanctions List	<p>The Polish sanctions list (Lista osób i podmiotów objętych sankcjami) contains persons and organizations against which the Polish government has introduced its own national sanctions, which must be observed in addition to the EU financial sanctions. These measures include, for example, the exclusion from public tenders in addition to comprehensive bans on the provision of economic and/or financial resources.</p> <p>Link to the list (original)</p>	Recommended for companies incorporated under Polish law and therefore subject to Polish law.	A	D601026

Czech Republic – Finanční Analytický Úřad, FAÚ

List	Description	Relevance	Price category	Material number
CZCTSL Czech Counter- Terrorism Sanctions List (Nařízení vlády č. 210/2008 Sb.)	<p>The list contains persons and organizations against which sanctions have been imposed in the areas of trade and services, financial services, and financial markets.</p> <p>Link to the list (original)</p>	Recommended for companies incorporated under Czech law and therefore subject to Czech law.	A	D601033

3.1.2 Switzerland lists

SECO – State Secretariat for Economic Affairs

List	Description	Relevance	Price category	Material number
SECO List of the Swiss State Secretariat for Economic Affairs	This list contains persons and entities against which the United Nations, the Organization for Security and Cooperation in Europe, or the authorities of key Swiss trading partners have decided to impose sanctions. Link to the list (original)	Recommended for companies incorporated under Swiss law and therefore subject to Swiss law.	A	D601018

3.1.3 Lists United Kingdom

HM Treasury – The UK government's economic and finance ministry

List	Description	Relevance	Price category	Material number
BOE Consolidated List of Financial Sanctions Targets in the UK (OFSI Consolidated List)	Consolidated list of all persons, groups, and entities subject to UK financial sanctions. Link to the list (original)	Recommended for companies incorporated under UK law and therefore subject to UK law.	A	D601007

List	Description	Relevance	Price category	Material number
UKUASL List of entities subject to capital market restrictions (former Ukraine Sovereignty List)	The List of entities subject to capital market restrictions, provided by HM Treasury, lists Russian entities that are more than 50 % state-owned and are subject to the sanctions laid down with respect to Russia's actions contributing to Ukraine's destabilization. These sanctioned organizations are not subject to comprehensive financial sanctions and are therefore not included in the OFSI Consolidated List of Financial Sanctions Targets in the UK (BOE list). Link to the list (original)	Recommended for companies incorporated under UK law and therefore subject to UK law.	A	D601008

Foreign, Commonwealth & Development Office (FCDO)

List	Description	Relevance	Price category	Material number
UKSL UK Sanctions List	With the UK Sanctions List, the British government provides a national restricted party list of persons, organizations, or means of transport that are subject to both comprehensive financial sanctions and other national restrictions that are not financial sanctions. The latter are, for example, entry restrictions or restrictions on trade in certain goods. Link to the list (original)	Recommended for companies incorporated under UK law and therefore subject to UK law.	A	D601022

3.1.4 US lists

Department of Commerce – Bureau of Industry and Security (BIS)

List	Description	Relevance	Price category	Material number
DPL Denied Persons List	The Denied Persons List names persons, companies, and organizations that are excluded from trade with US products. Link to the list (original)	Screening against this list is recommended for all companies that trade in US goods that are subject to the US EAR, or are “US persons” subject to US EAR.	Included in license	–
EL Entity List*	The Entity List lists persons and entities implicated by American authorities as posing a significant threat to national security. Link to the list (original)	Screening against this list is recommended for all companies that trade in US goods, or are “US persons” subject to US EAR.	Included in license	–
UL Unverified List*	The Unverified List has the character of a warning list. It contains the names of all persons for which US authorities cannot verify sufficiently and have therefore been flagged as potentially unauthorized to purchase US goods. Link to the list (original)	Screening against this list is recommended for all companies that trade in US goods, or are “US persons” subject to US EAR.	Included in license	–

List	Description	Relevance	Price category	Material number
MEUL Military End User List*	The Military End User List contains companies that are classified by the US government as military end users. According to the findings of the US authorities, the listed companies pose a significant risk of a military end-use of the goods from Supplement No. 2 to Part 744 EAR. Link to the list (original)	Screening against this list is recommended for all companies that trade in US goods, or are “US persons” subject to US EAR.	A	D601023
MIEUL Military-Intelligence End User List	The Military-Intelligence End User List as per EAR § 744.22 lists military reconnaissance agencies, where transactions are subject to license requirements in case of an export, reexport, or a transfer (in-country) and if goods are “subject to the EAR”. Link to the list (original)	Screening against this list is recommended for all companies that trade in US goods, or are “US persons” subject to US EAR.	A	D601029
USBCL BIS Charging Letters	The “BIS Charging Letters” list contains individuals and companies that have received a Charging Letter from the Bureau of Industry and Security (BIS) Office of Export Enforcement (OEE) for violating US import and export regulations (e.g. EAR) and are under investigation. Link to the list (original)	Screening against this list is at the company’s discretion.	A	D601032

* Like the Unverified List (UL), the Military End User List (MEUL) and the Nonproliferation Sanctions (NPS), the Entity List (EL) is generated from the US Consolidated Screening List (USCSL). Occasionally, some time may elapse before listings published in the Federal Register are included in the USCSL and therefore in the EL, UL, MEUL, or NPS in *Compliance Screening* Please note the instructions of USCSL on the following website: <https://www.export.gov/article?id=Consolidated-Screening-List>.

Department of the Treasury – Office of Foreign Assets Controls (OFAC)

List	Description	Relevance	Price category	Material number
<p>SDN Specially Designated Nationals List</p>	<p>The SDN list is a consolidated list of all persons, groups, and entities subject to US financial sanctions. It includes the financial sanctions from the various sanctions programs.</p> <p>Active SDN sanctions programs*:</p> <ul style="list-style-type: none"> • Afghanistan-Related Sanctions • Balkans-Related Sanctions • Belarus Sanctions • Burma-Related Sanctions • Central African Republic Sanctions • Chinese Military Companies Sanctions • Countering America's Adversaries Through Sanctions Act of 2017 (CAATSA) • Counter Narcotics Trafficking Sanctions • Counter Terrorism Sanctions • Cuba Sanctions • Cyber-related Sanctions • Democratic Republic of the Congo-Related Sanctions • Ethiopia-Related Sanctions • Foreign Interference in a United States Election Sanctions • Global Magnitsky Sanctions • Hong Kong-Related Sanctions • Hostages and Wrongfully Detained U.S. Nationals Sanctions • Iran Sanctions 	<p>Screening against the SDN list is recommended for companies worldwide. Individual sanctions programs contain “secondary sanctions”, which must also be observed for non-US persons trading in non-US products.</p>	<p>Included in license</p>	<p>–</p>

List	Description	Relevance	Price category	Material number
	<ul style="list-style-type: none"> • Iraq-Related Sanctions • Lebanon-Related Sanctions • Libya Sanctions • Magnitsky Sanctions • Mali-Related Sanctions • Nicaragua-Related Sanctions • Non-Proliferation Sanctions • North Korea Sanctions • Rough Diamond Trade Controls • Russian Harmful Foreign Activities Sanctions • Somalia Sanctions • Sudan and Dafur Sanctions • South Sudan-Related Sanctions • Syria Sanctions • Syria-Related Sanctions (Executive Order 13894 of 2019) • Transnational Criminal Organizations • Ukraine-/Russia-Related Sanctions • Venezuela-Related Sanctions • Yemen-Related Sanctions • Zimbabwe Sanctions <p>Link to the list (original)</p>			

List	Description	Relevance	Price category	Material number
OFAC-CSL Consolidated Sanctions List (OFAC)	<p>With the Consolidated Sanctions List (non-SDN Lists), the OFAC provides a consolidated list of all persons and entities on which no comprehensive financial sanctions have been imposed.</p> <p>The OPAF Consolidated Sanctions List includes the following sanctions lists, for example:</p> <ul style="list-style-type: none"> • Foreign Sanctions Evaders (FSE) List • Sectoral Sanctions Identification (SSI) List • Palestinian Legislative Council (NS-PLC) List • Non-SDN Iranian Sanctions Act (NS-ISA) List • List of Foreign Financial Institutions Subject to Correspondent Account or Payable-Through Account Sanctions (CAPTA List) • Non-SDN Menu-Based Sanctions (NS-MBS) List • Non-SDN Chinese Military-Industrial Complex Companies List (NS-CMIC) <p>Link to the list (original)</p>	Generally relevant for US persons. Individual restricted party lists may contain “secondary sanctions” that apply to non-US companies worldwide.	Included in license	–

* For an overview of all sanctions programs and their impact, refer to the OFAC website: <https://ofac.treasury.gov/specially-designated-nationals-list-sdn-list/program-tag-definitions-for-ofac-sanctions-lists>

Department of State – Directorate of Defence Trade Controls (DDTC)

List	Description	Relevance	Price category	Material number
LADP List of Administratively Debarred Parties	The persons and entities on this list have been convicted by the US Administration of violations of the Arms Export Control Act (AECA). Listed persons are excluded from trade in US defense equipment including technical data and services. Link to the list (original)	Screening against the list is recommended for all companies trading in US munitions (USML).	A	D601013
LSDP List of Statutorily Debarred Parties	The persons and entities on this list have been convicted by the US Administration of violations of the Arms Export Control Act (AECA). Listed persons are excluded from trade in US defense equipment including technical data and services. Link to the list (original)	Screening against the list is recommended for all companies trading in US munitions (USML).	A	D601014

Department of the Treasury – Financial Crimes Enforcement Network

List	Description	Relevance	Price category	Material number
FINCEN Money Laundering Concerns List	Special measures for jurisdictions, financial institutions, or international transactions of money laundering concern. List for identifying customers who are using correspondent accounts, including obtaining information comparable to information obtained on domestic customers and prohibiting or imposing conditions on the opening or maintaining of correspondent or payable-through accounts for a foreign banking institution in the US. FINCEN is provided with a range of options that can be adapted to target most effectively specific money-laundering and terrorist-financing concerns. Link to the list (original)	Screening against this list is at the company's discretion.	A	D601012

Department of State – Bureau of International Security and Nonproliferation

List	Description	Relevance	Price category	Material number
NPS List of Non-proliferation Sanctions*	The list consolidates various laws and executive orders that impose sanctions in the area of non-proliferation. The current overview of the sanction programs can be found here: Link to the list (original)	Screening against this list is at the company's discretion.	A	D601016

* Like the Entity List (EL), the Unverified List (UL), and the Military End User List (MEUL), the Nonproliferation Sanctions (NPS) is generated from the US Consolidated Screening List (USCSL). Occasionally, some time may elapse before listings published in the Federal Register are included in the USCSL and therefore in the EL, UL, MEUL, or NPS in *Compliance Screening*. Please note the instructions of USCSL on the following website: <https://www.export.gov/article?id=Consolidated-Screening-List>.

Department of State – Bureau of Counterterrorism and Countering Violent Extremism

List	Description	Relevance	Price category	Material number
TEL Terrorist Exclusion List	The Terrorist Exclusion List is based on the USA Patriot Act of 2001. It contains specific terrorist organizations and serves as a basis for decisions for granting entry permissions. A person associated with an organization on the Terrorist Exclusion List can be refused entry into the United States of America. Link to the list (original)	Screening against this list is at the company's discretion.	A	D601015

General Services Administration (SAM)

List	Description	Relevance	Price category	Material number
<p>SAM System for Award Management Exclusions</p>	<p>The System for Award Management (SAM) Exclusions contains a list of parties (individuals, companies, entities, or vessels) that are not permitted to receive government contracts or certain types of financial and non-financial assistance and benefits from the US government.</p> <p>Link to the list (original)</p>	<p>Screening against this list is at the company's discretion.</p>	<p>B</p>	<p>D601005</p>

Department of Homeland Security

List	Description	Relevance	Price category	Material number
<p>UFLPA Entity List</p>	<p>The Uyghur Forced Labor Prevention Act (UFLPA) Entity List is a list of entities named as subject to restrictions to prevent the importation into the United States of goods mined, produced, or manufactured wholly or in part with forced labor in the People's Republic of China (PRC).</p> <p>Link to the list (original)</p>	<p>Screening against this list is at the company's discretion.</p>	<p>A</p>	<p>D601027</p>

3.1.5 Lists Canada

Department of Foreign Affairs, Trade and Development Canada

List	Description	Relevance	Price category	Material number
CACASL Consolidated Canadian Autonomous Sanctions List	The Consolidated Canadian Autonomous Sanctions List contains persons and organizations subject to specific sanctions regimes (financial sanctions and other embargoes) under the Special Economic Measures Act (SEMA) and the Justice for Victims of Corrupt Foreign Officials Act (JVCFOA). Link to the list (original)	Recommended for companies incorporated under Canadian law and therefore subject to Canadian law.	A	D601031

3.1.6 Australia lists

DFAT – Department of Foreign Affairs and Trade

List	Description	Relevance	Price category	Material number
AUCL Australia Consolidated List	This Australian list consolidates all persons and organizations subject to financial sanctions imposed by the Australian government. Link to the list (original)	Recommended for companies incorporated under Australian law and therefore subject to Australian law.	A	D601024

3.1.7 Japan lists

METI – Ministry of Economy, Trade and Industry

List	Description	Relevance	Price category	Material number
EUL End User List	The End User List issued by Japan’s Ministry of Economy, Trade and Industry (METI) – lists the persons implicated in the development or construction of nuclear, chemical, or biological weapons or carrier missiles. Link to the list (original)	Recommended for companies incorporated under Japanese law and therefore subject to Japanese law.	A	D601017

3.1.8 Singapore lists

MAS – Monetary Authority of Singapore

List	Description	Relevance	Price category	Material number
SG-MAS List of the Monetary Authority of Singapore	Persons providing financial services in Singapore are required to be licensed by the Monetary Authority of Singapore (MAS). The Investor Alert List provides a listing of unregulated persons who, based on information received by MAS, may have been wrongly perceived as being licensed or authorized by the MAS. Link to the list (original)	Screening against this list is at the company’s discretion.	A	D601019

3.1.9 People's Republic of China lists

China Ministry of Commerce (MOFCOM)

List	Description	Relevance	Price category	Material number
UEL MOFCOM Unreliable Entity List	The MOFCOM Unreliable Entity List lists foreign entities and persons subject to restrictions or prohibitions as per the Provisions on the Unreliable Entity List. Link to the list (original)	Recommended for companies incorporated under Chinese law and therefore subject to Chinese law.	A	D601028

3.1.10 Further lists

United Nations Security Council

List	Description	Relevance	Price category	Material number
UN Consolidated United Na- tions Security Council Sanctions List	Consolidated list of all persons and organizations subject to UN Security Council financial sanctions. These sanctions are implemented by nation states in their financial sanctions lists (e.g. CFSP, BOE, SDN, SECO). Link to the list (original)	Screening against this list is at the company's discretion.	A	D601020

The World Bank Group

List	Description	Relevance	Price category	Material number
WBL World Bank List	The World Bank List is issued by the World Bank and lists the firms and individuals classified as fraudulent or corrupt and therefore no longer deserving of subsidies. The listed firms and individuals are excluded from World Bank financing for the defined period. The purpose of this list is to fight fraud and corruption. Link to the list (original)	Screening against this list is at the company's discretion.	A	D601021

3.2 Lists by Reguvis (former Bundesanzeiger Verlag; subject to license fees)

List	Description	Price category	Material number
<p>BANZEU European lists</p> <p>BANZUS US-American lists</p> <p>BANZDIV Various lists</p>	<p>The restricted party lists offered by Reguvis include the relevant EU financial sanctions (source: "Official Journal of the EU") and the publications in the "Bundesanzeiger".</p> <p>They are supplemented by the various lists recommended for consideration by the US Department of Commerce.</p> <p>Furthermore, the Reguvis data content includes the relevant lists from Japan, Australia, Canada, Switzerland, the United Kingdom, and other national and international restricted party lists such as the UN Consolidated Sanctions List</p> <p>The lists offered by Reguvis can be found in the (always up-to-date) overview.</p> <p>You can purchase your license for these lists directly. Product information and contact details can be found at Reguvis.</p> <p>In addition to the license which you purchase directly from Reguvis, the data service for the automatic provision of the data from Reguvis must be ordered from AEB. Please inquire about prices and offers directly via the AEB website or via your contact at AEB.</p>	A	D601002

3.3 Dow Jones content (subject to license fees)

List	Description	Price category	Material number
<p>DJ_* The name of this list depends on the content package licensed with Dow Jones.</p>	<p>Dow Jones provides content on the following topics:</p> <ul style="list-style-type: none"> • Global range of sanctions lists, watchlists, enforcement lists, etc. • Special sanctions package "Sanctions Control & Ownership Feed (SCO)" for screening of the indirect prohibition of provision and the 50% rule of the OFAC • Politically Exposed Persons (PEP) for screening and risk minimization in the areas of money laundering and anti-corruption • "Adverse Media" to check negative media coverage of business partners • "State Owned Companies" to screen and mitigate risk in the area of anti-corruption related to state-owned companies <p>Dow Jones offers this content in the following sales packages:</p> <ul style="list-style-type: none"> • SCO • Adverse Media • State-Owned Companies • Watchlist (contains SCO, PEP and global sanctions lists) • Trifecta (contains all 4 single packages) <p>The "State Owned Companies" package cannot be used on its own, but only in combination with one of the other packages in the AEB software.</p> <p>Due to the huge amount of data, it is necessary that you discuss with Dow Jones what content is required exactly. You will license the Dow Jones content directly from <u>Dow Jones</u>. AEB will help you to get in touch with a competent contact at Dow Jones who is also familiar with the integration with the AEB software.</p> <p>In addition to the license which you purchase directly from Dow Jones, the data service for the automatic provision of the data from Dow Jones must be ordered from AEB. Please inquire about prices and offers directly via the <u>AEB website</u> or via your contact at AEB.</p>	<p>SCO: B</p> <p>Watchlist (WL): C</p> <p>Trifecta: D</p>	<p>SCO: D601003</p> <p>WL: D601006</p> <p>Trifecta: D601004</p>

3.4 Can AEB provide the German BMWi Frühwarnhinweise via data service?

The “early warning notifications” by the German Federal Ministry of Economics (BMWi) are not public and will only be made available to the companies by the respective Chamber of Commerce in charge.

Therefore AEB is not allowed by law to distribute this list. The early warning notifications are not a standard offering of AEB's partners either.

If you receive early warning notifications from the Chamber of Commerce and would like to screen against them using the AEB Compliance solution, you can import the addresses as a manual sanctions list in the software.

AEB also offers the import of the early warning notifications as a service, which requires you to provide the list of early warning notifications.

AEB

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